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### Title

Lidar Based Reconstruction framework for Truck Surveillance

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### Authors

Allu, Koti R.

Sun, Zhe

Tok, Andre

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1 **Lidar Based Reconstruction framework for Truck Surveillance**

2

3 **Koti Reddy Allu**

4 **Ph.D. Student**

5 Department of Civil Engineering and Institute of Transportation Studies

6 University of California, Irvine

7 Irvine, CA 92697-3600

8 Tel: 949-501-6013

9 Fax: 949-824-8385

10 [kallu@uci.edu](mailto:kallu@uci.edu)

11

12 **Jared Sun, Ph.D.**

13 Systems Manager

14 Institute of transportation Studies

15 University of California, Irvine

16 Irvine, CA 92697-3600

17 Tel: 949-331-8112

18 Fax: 949-824-8385

19 zhes@uci.edu

20

21

22 **Andre Tok, Ph.D.**

23 Assistant Project Scientist

24 Institute of transportation Studies

25 University of California, Irvine

26 Irvine, CA 92697-3600

27 Tel: 949-690-0737

28 Fax: 949-824-8385

29 ytok@uci.edu

30

31 **Stephen G. Ritchie, Ph.D.**

32 Professor

33 Department of Civil Engineering and Institute of Transportation Studies

34 University of California, Irvine

35 Irvine, CA 92697-3600

36 Tel: 949-824-4214

37 Fax: 949-824-8385

38 sritchie@uci.edu

1 **ABSTRACT**

2 Monitoring Commercial Vehicle Activities is very important for developing and maintaining efficient  
3 freight transport systems. In the existing Literature this is broadly done through vehicle classification and  
4 reidentification problems using various sensing technologies. Lidar is an emerging traffic sensing  
5 technology which could potentially serve as a multi functional sensor for transport systems. In our current  
6 work we mainly focused on developing and qualitatively assessing a Lidar based Reconstruction  
7 framework for Truck surveillance purpose. We proposed a two stage Truck body reconstruction  
8 framework and found the results of reconstructed Truck bodies are quite promising for several truck-  
9 trailer configurations. For certain types of Truck-Trailer configurations such as containers due to the  
10 sparsity of scanned points in lateral direction, the wheel portion of reconstructed body still has noticeable  
11 deformations. We would like to address the same in our future work.

12  
13 **Keywords:** Truck Body Reconstruction, Roadside Lidar Sensor, Commercial Vehicle Monitoring

1 **1. INTRODUCTION**  
2

3 Road Freight Transportation systems play vital role in the economy of a region and entail  
4 movement of widevariety of commodities with the help of commercial vehicles. Understanding the  
5 seasonal, temporal, and spatial patterns of the class based commercial vehicle activities through  
6 surveillance is important for the agencies (1). The class-based surveillance data is useful in freight  
7 forecasting models, air pollution assessment, assessing the health impacts on adjoining communities, road  
8 network asset management etc (2). Various sensing technologies have been used for monitoring  
9 commercial vehicle activities. Determining the body type or class of the commercial vehicle and  
10 reidentification of same commercial vehicle in the road network have been two important research  
11 problems associated with monitoring the commercial vehicle activities. Moreover, Commercial vehicle  
12 classification problem is more closely associated with understanding which types of commodities are  
13 carried (3).  
14

15 The sensing technologies used for monitoring commercial vehicles can be classified as intrusive  
16 and non-intrusive. Intrusive sensors are installed in the travel lanes and non-intrusive sensors are installed  
17 in the vicinity of travel lanes either using overhead-gantries or roadside mounted poles. Asborn, I.M. et  
18 al., (4) synthesized information about the sensing technologies from FHWA's Traffic Detector Handbook.  
19 As per their work even though Intrusive Sensors' such as advanced ILD perform quite accurately, the  
20 installation and maintenance costs of these sensors as well as the pavement surface quality in high volume  
21 freight corridors are deterring factors for this sensor. Nonetheless ILDs are well accepted and installed  
22 sensors. Bernas, M., et al., (5) provided a comprehensive review of various Low-cost sensing  
23 Technologies for the purpose of road traffic monitoring. Both the studies reviewed the advantages,  
24 limitations and applications of Inductive Loops, Cameras, Magneto meter, Weigh in Motion (WIM),  
25 Acousitc Sensors, Infrared Radars, Microwave Radars, GPS systems, Cellphone, Bluetooth, RFID. GPS  
26 and Cellphone based systems are majorly sensors present within the commercial vehicles and the data is  
27 collected throught sampling design. Won, M (6) also has provided a state of the art comprehensive review  
28 of Intelligent Traffic Monitoring Sytems for the Vehicle Classsification problem.  
29

30 While most of the sensors mentioned above can classify vehicles based on length, Inductive  
31 loops, WIM, Microwave Radars, Active Infrared Radars, and cameras can be used for axle-based  
32 classification. Hernandez, S.V., et al., (1) showed that more than 50 body types can be classified using  
33 ILD signature data. The performance of ILD is highly dependent on pavement conditions and involves  
34 difficult maintenance process. Video Detection based methods under perform during inclement weather  
35 and light conditions.  
36

37 Lidar based infrastructure mounted traffic sensing is an emerging technology. Lidar sensors are  
38 not affected by variations in light and their efficiency during inclement weather needs to be investigated.  
39 Sun, Yuan et al., (7) ; Zhang, J et al., (8) developed methodologies for tracking vehicles using Roadside  
40 Lidar Sensors. Asborn, M et al., (4); Wu, J et al., (9); Ho, Lee (10); Olcay, Sahin et al., (2) tried to use  
41 Lidar Sensor for vehicle classification problem. Their works differ in the configuration of Lidar sensor  
42 being used, the above works did not try to reconstruct a comprehensive truck body for vehicle  
43 classification problem and hance may be non-adaptable when there is occlusion issue.  
44

45 The objective of this working paper is to utilize the all the scans of a truck while it is passing  
46 through the detection zone of a roadside mounted 32 beam Lidar sensor rotating 180 degrees in  
47 Horizontal field of view. For this purpose, we formulated a two stage Truck body reconstruction  
48 framework using all the road side Lidar scans of truck while it was in the detection zone.during the first  
49 stage a pairwise Lidar point cloud registration is performed and in the second stage all the pointclouds are  
50 transformed to a Global Coordinate framework using SLAM based optimization. Rest of the paper is  
51 organized as described here. Section 2 presents the existing literature for addressing our problem. Section

1 3 presents the framework used for reconstructing the Truck bodies which can further be used for vehicle  
2 classification purpose. Section 4 presents qualitative and visual results for various types of reconstructed  
3 trucks.

## 6 2. LITERATURE REVIEW

8 Lidar sensor captures the 3D points of the target along with corresponding intensity values in its  
9 scanning zone. Point Cloud Registration is aligning two such scans of a target and mainly draws its  
10 motivation from range image registration. Point cloud registration is an important and challenging  
11 problem in the domains such as computer vision, medical image processing, robotics, self  
12 driving/intelligent vehicle applications (11); photogrammetry, remote sensing, environmental monitoring,  
13 etc. In medical imaging multiple images by computer tomography or magnetic resonance imaging are  
14 fused using feature-based registration. In remote sensing the Lidar based registration is mainly used for  
15 forest parameter estimation, land cover classification, solar energy potential estimation, natural disaster  
16 monitoring, water surveys etc(12). In computer vision, registration is used for localization and mapping  
17 purposes as well as indoor scene reconstruction which is useful for Virtual reality and Augmented Reality  
18 based applications.

19  
20 Initial formulation of point cloud registration is proposed by Besl and McKay (13) and the  
21 problem is described as aligning the 3D data of an object scanned in sensor coordinate system to a model  
22 shape (3D data) represented in model coordinate system by iteratively estimating optimal rotation and  
23 translation parameters which minimizes the point-to-point distance between both datasets (14). This is  
24 called the iterative closest point algorithm and widely used for lidar point cloud registration. ICP  
25 algorithm has six stages and needs an initial set of parameters. Original ICP algorithm is sensitive to the  
26 initialization of parameters and requires good overlap between the scan and model object to obtain tight  
27 alignment. To overcome this several variants of ICP have been proposed as discussed in (15).

28  
29 Other widely adopted strategy for the pointcloud registration is coarse-to-fine registration  
30 strategy. In coarse registration, initial registration parameters for the two-point clouds are estimated using  
31 some derived features of the point clouds. Generally, point based, or line based, or surface-based features  
32 are derived for coarse registration purpose. In fine registration maximum overlap between both point  
33 clouds is achieved using either iterative approximation method or normal distribution transformation  
34 method. The general point based features extracted are point feature histograms, fast point feature  
35 histograms, heat kernel signature, mesh-difference-of-gaussians etc (12). Salvi, J et al., (16) analysed and  
36 provided a comprehensive review of both coarse and fine registration methods. As per their findings,  
37 RANSAC based coarse registration yielded better results and they also showcased the local minima  
38 problems present in the original formulation of ICP by Besl and Mckay. The coarse-to-fine registration  
39 strategy is well suited when we only need to do registration for a couple of point clouds.

40  
41 For several applications such as indoor scene reconstruction multiple point clouds might need to  
42 be aligned together to confirm to a global coordinate system. Zhu, H et al., (11) provided a detailed  
43 review of both pairwise as well as Groupwise registration methods. They conducted experiments on 10  
44 representative pairwise as well as groupwise registration algorithms. Their work found a probability based  
45 Coherent Point Drift (CPD) method is found to perform better for pairwise registration.

46  
47 While the literature found wide variety of algorithms for registrstion purpose, in our work we  
48 tested the multiway registration framework presented in Open3D (17). The results from this are  
49 qualitatively assessed and presented in section 5.

### 3. METHODOLOGY

In the current framework a two-stage truck body reconstruction framework is proposed to obtain a comprehensive scanned body of a truck passing through the sidefire Lidar Detection Zone (LDZ). In the first stage, a sequential pairwise rigid transformation matrix is estimated using a coarse-to-fine registration strategy. In the second stage, global optimization is performed on a posegraph constructed using the sequential pairwise transformation matrices from the first stage to construct the merged point cloud. The proposed framework is shown in Figure 1 below.

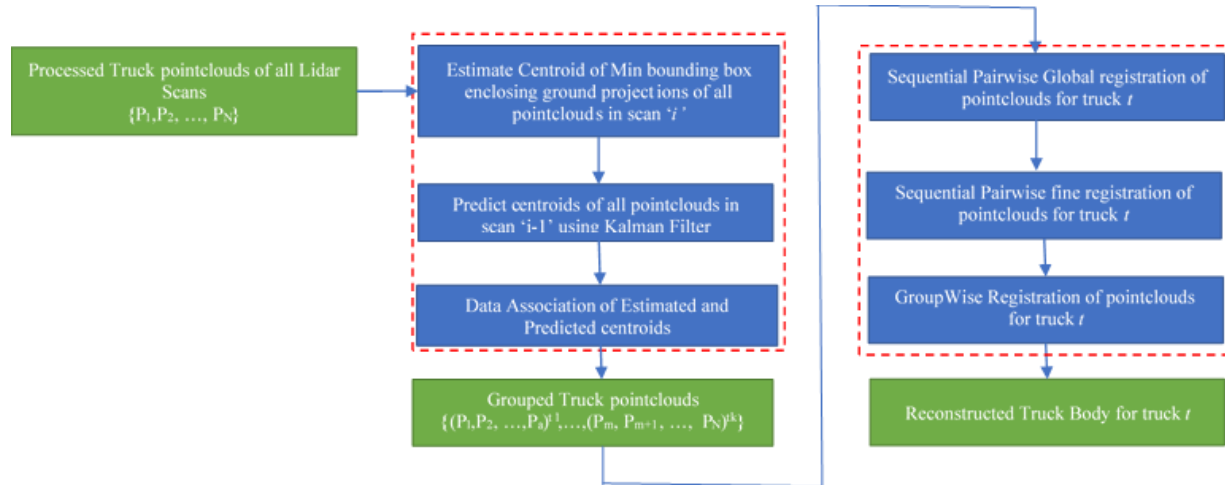


Figure 1. Proposed 2-stage Truck body reconstruction framework

#### 3.1. Experimental Setup and Data Collection

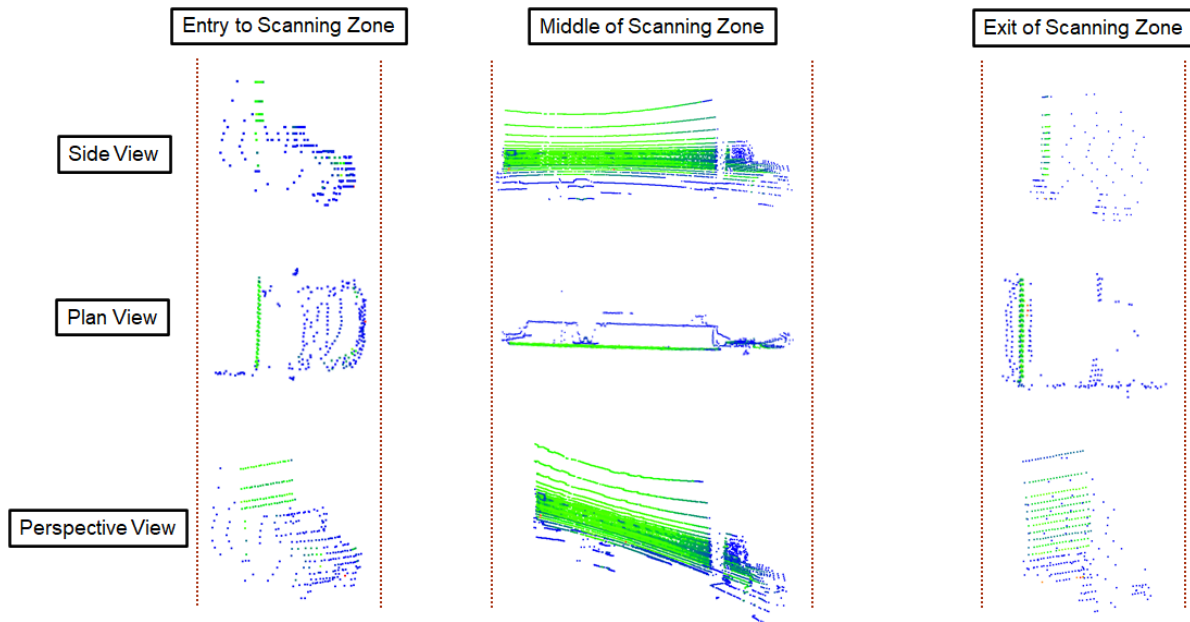
A 32 beam Velodyne Lidar is setup adjacent to South bound I-5 right of way at a Truck Scale facility near SanOnofre, California. Lidar's scanning is restricted to 180 degrees horizontal field of view and scans at a frequency of 10 HZ. Data has been collected for a duration of approximately 134 hours for 12 days.

The raw point clouds obtained from the Lidar are processed to remove background using DBScan and statistical outliers as proposed in (7). Each scan of the side-fire Lidar sensor captures all the truck bodies present in its Detection Zone (LDZ) partially. The scan will have rich information of the front portion of the truck when it is entering the LDZ, side of the truck when it is in the midsection part of the LDZ and rear portion of the vehicle when it is leaving the LDZ. A more detailed truck body can be reconstructed by aggregating all its pointclouds captured while traversing the LDZ. The same is illustrated in Figure 1.

#### 3.2. Object Tracking and Data Association

For creating the aggregated and comprehensive truck body it is necessary to track and map the scans corresponding to the same truck from all the scans while the truck is present in LDZ. Processed truck object scans in successive frames would be tracked using the Simple Online Realtime Tracking (SORT) as proposed by Wozke, N et al., (18). Centroid of the minimum oriented bounding box of 2D ground projection of the truck is used as state variable for tracking purpose using a constant velocity based Kalman Filter. The same is shown in Figure 3 below. The predictions from previous scan and estimations from current scan of the state variables are mapped to each other using Hungarian Algorithm. The current Framework can track a missed detection upto 5 consecutive scans. There are several Multi Object Tracking algorithms developed in literature, but the current framework is chosen as it performs quite well in a realtime tracking context.

1



2

Figure 2. Truck Scans at different parts of LDZ

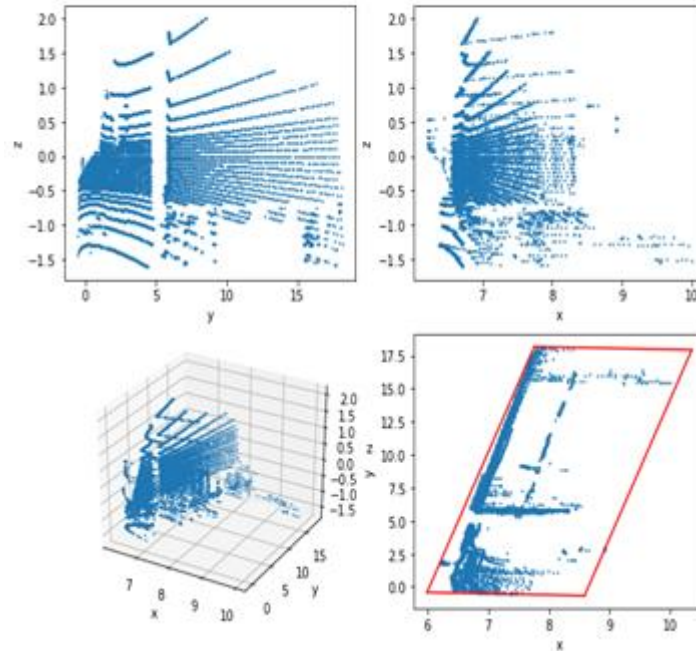


Figure 3. Minimum Oriented Bounding box for Gorund projected Truck Scan

### 3 3.3. Sequential Pairwise Registration

4 A coarse-to-fine registration strategy is adopted in our framework to obtain the sequential pairwise rigid  
 5 transformation matrices for each pair of truck pointclouds. An initial estimate of the rigid transformation  
 6 matrix is estimated using fast point feature histograms and RANSAC algorithm. The output from

1 RANSAC is used as initialization for the standard ICP algorithm and finetuned for tighter alignment. This  
2 is illustrated in Figure 4 below.

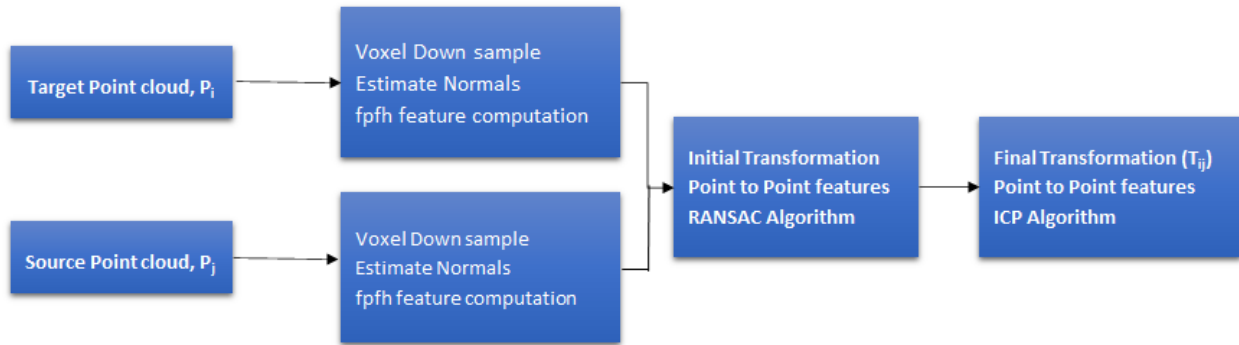


Figure 4. Sequential Pairwise Coarse-to-fine registration framework

### 3 3.4. Truck Body reconstruction using Posegraph Optimization

4 The sequential pairwise transformation matrices along with the corresponding pointclouds are used to  
5 construct a pose graph. This pose graph is optimized to align all the pointclouds globally and merge with  
6 a reference point cloud of truck while it is in the mid section of the LDZ. The SLAM based optimization  
7 framework proposed in Choi et al., (19) is used in our framework. Construction of posegraph and the  
8 merged pointcloud are schematically shown in Figure 5.

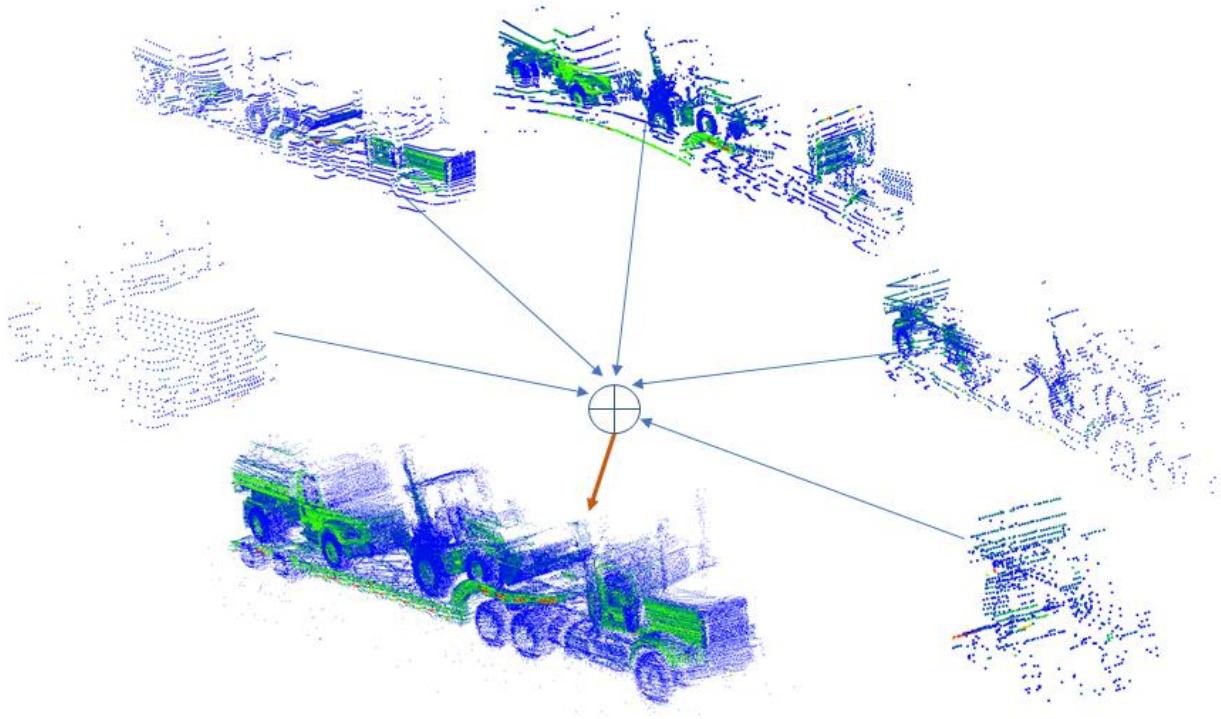


Figure 5. Schematic Representation of Posegraph based Truckbody reconstruction

## 9 4. RESULTS and DISCUSSION

10 Qualitative results from the Truck body reconstruction results for 9 types of Truck-Trailer combinations  
11 are presented in this section. The results are reasonably similar for other additional truck-trailer



1 configurations identified in (1). Those results are also verified visually but not presented here for brevity  
2 purpose.

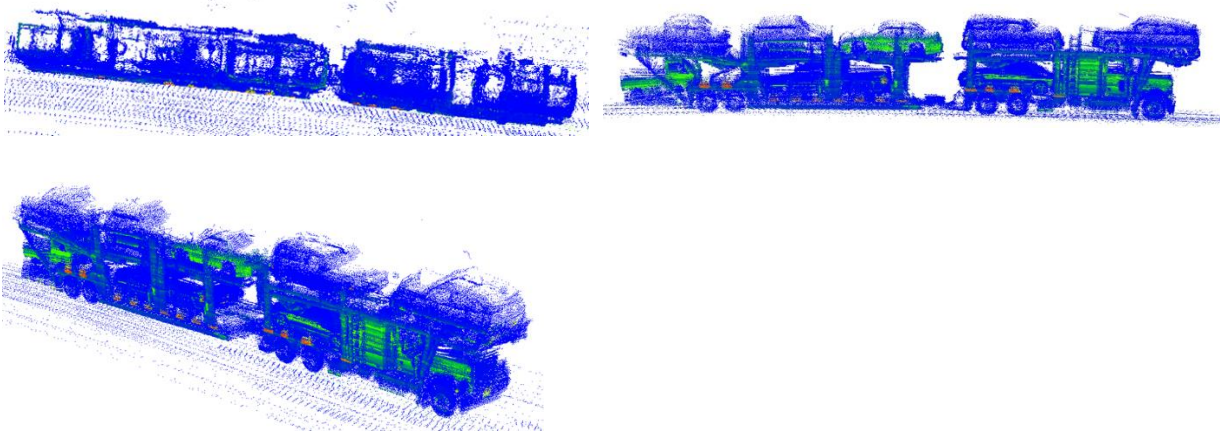


Fig 6.a. Auto Transport

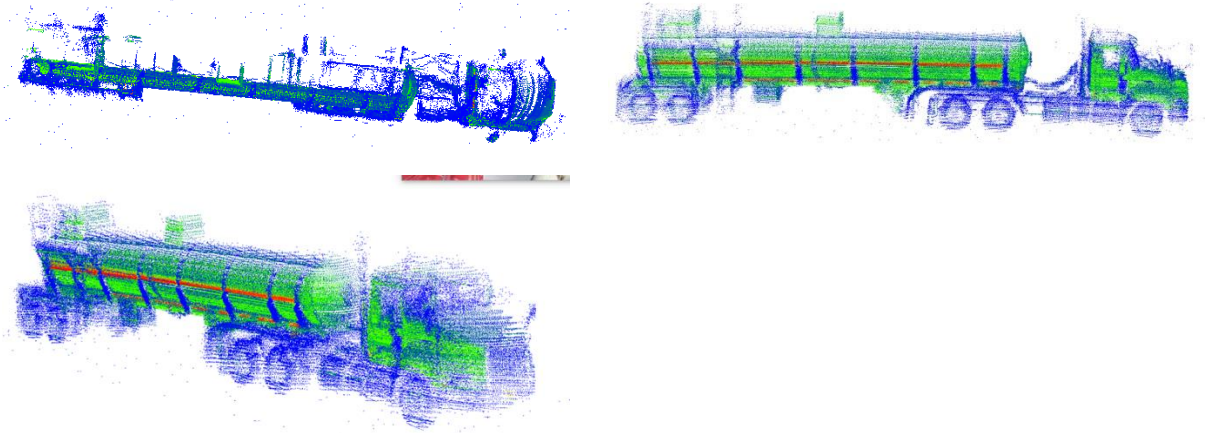


Fig 6.b. Tank Trailer

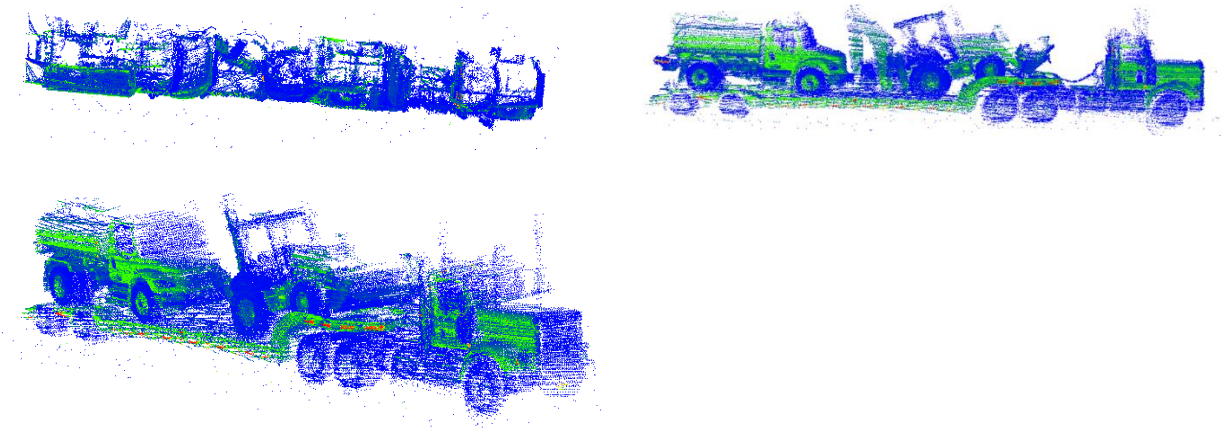
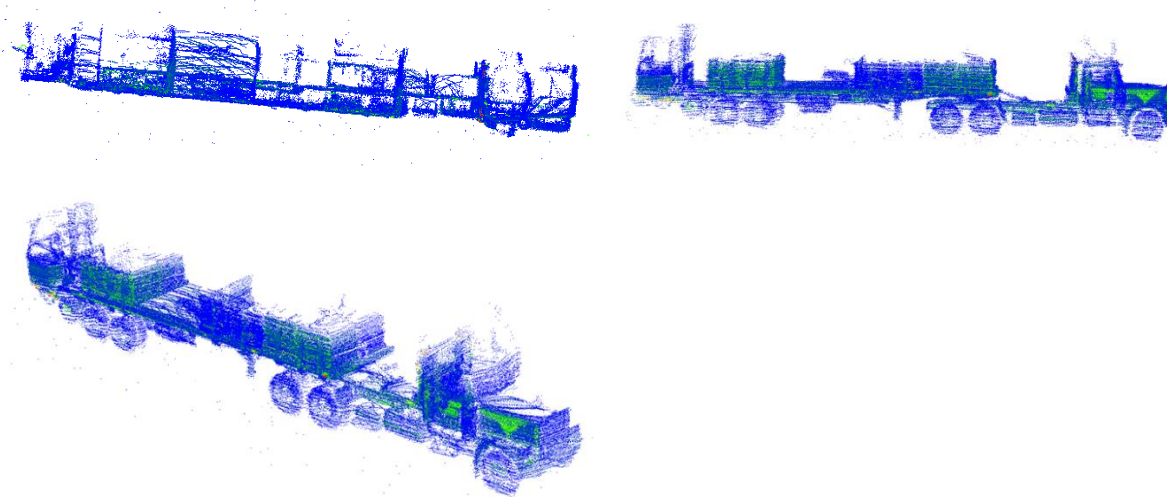
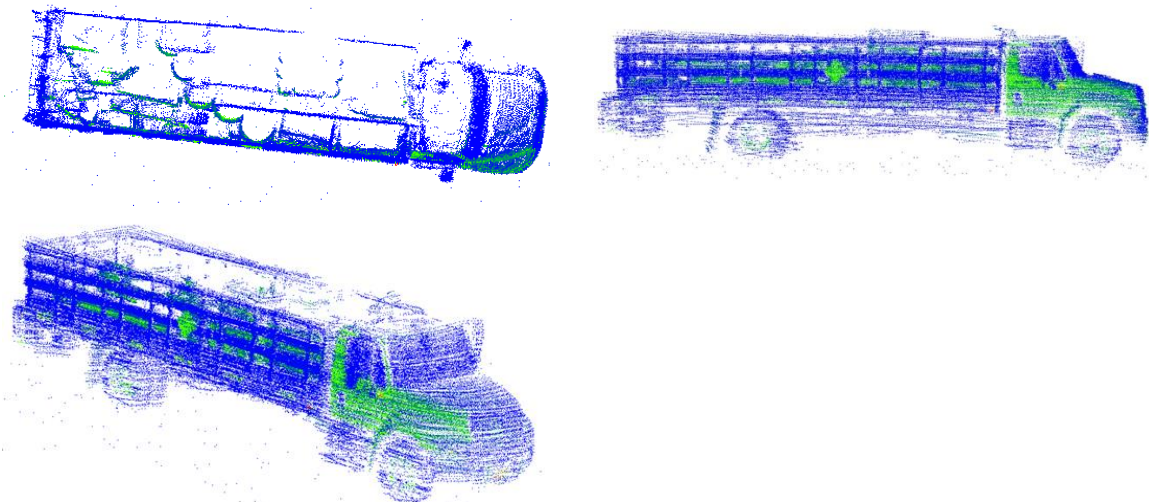


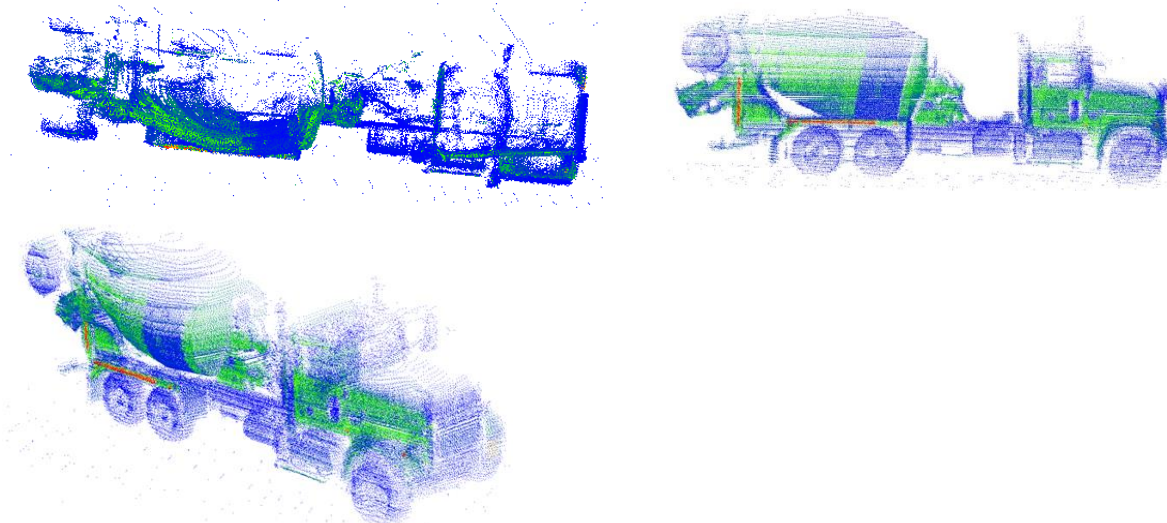
Fig 6.c. Low Boy Trailer



*Fig 6.d. Basic Platform Trailer*



*Fig 6.e. Stake Body Truck*



*Fig 6.f. Concrete Mixer Truck*

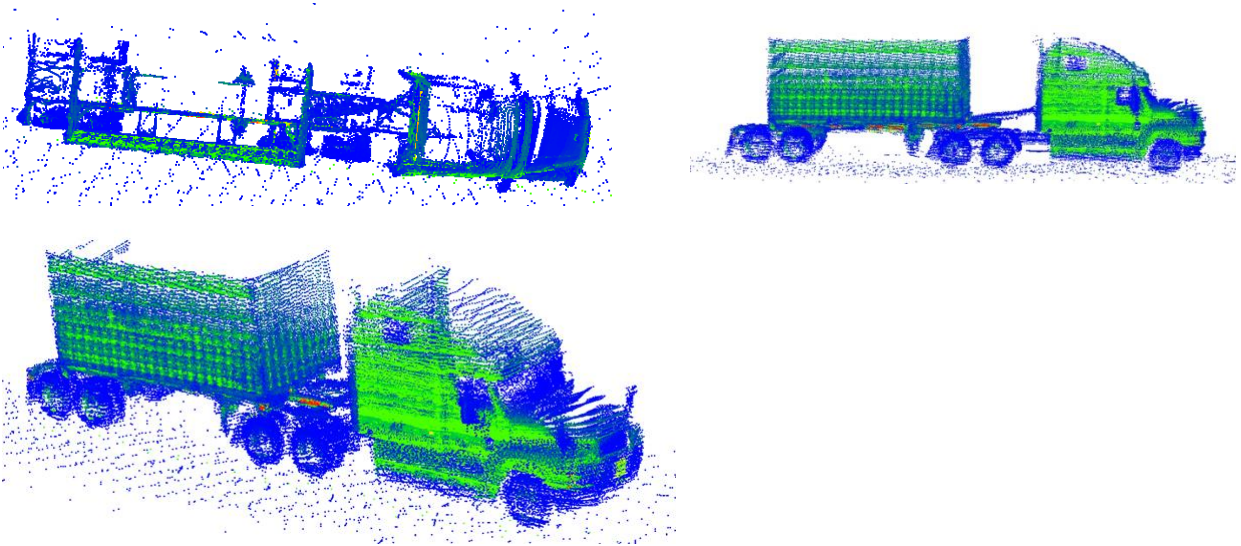


Fig 6.g. 20 ft Intermodal Container

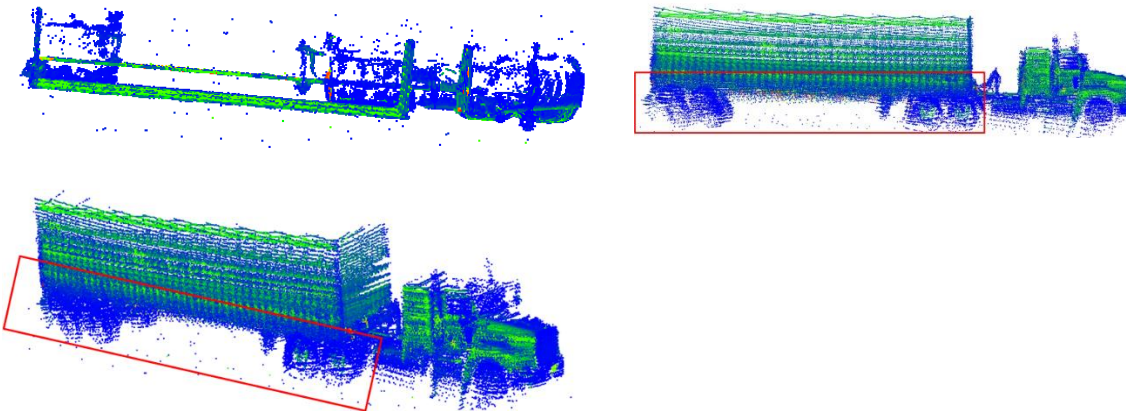


Fig 6.h. 40 ft Container Trailer

1

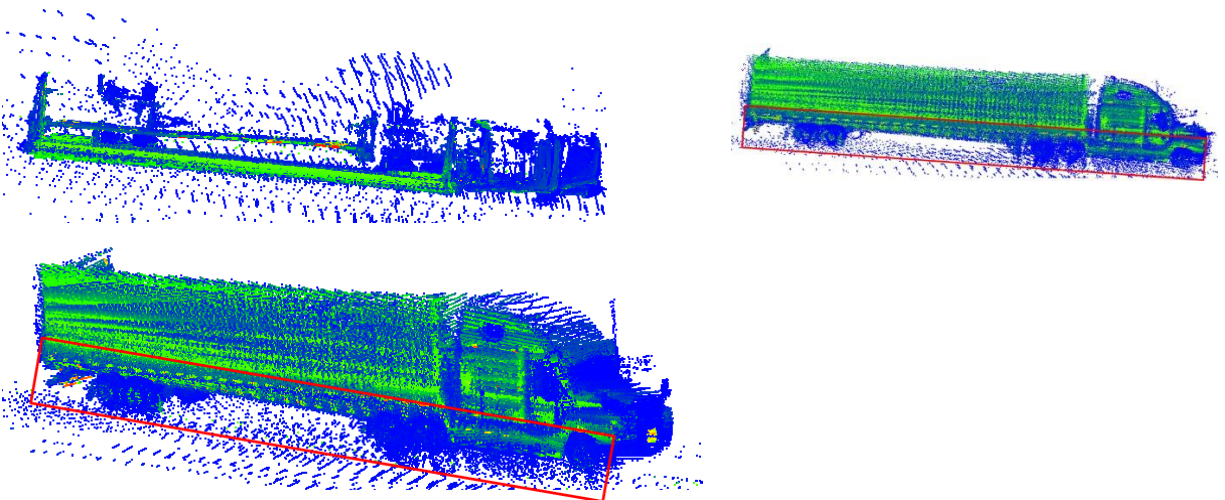


Fig 6.i. 53 ft Container

2

1 For the 9 types of Truck-Trailer configurations provided except for the 40 ft and 53 ft container trailers,  
2 the reconstructed truck bodies look quite intact. For the 40 ft and 53 ft trailers, qualitative assessment  
3 reveals that the sparse presence of points in the lateral direction could be causing the reconstructed body  
4 to be slightly misaligned at the wheels. This is being investigated and we would like to address this issue  
5 in our future work.

## 6 **5. CONCLUSION and FUTURE SCOPE**

7  
8 We presented a Lidar based Reconstruction framework for Truck Surveillance purpose. The reconstructed  
9 Truck bodies could play vital role in developing commercial vehicle activity monitoring applications such  
10 as body classification, axle-based classification, network level commercial vehicle tracking, etc. The  
11 presented framework consists of two stages where in the first stage the lidar scans corresponding to the  
12 same truck are grouped together using Kalman Filter and Hungarian Algorithm. During the second stage a  
13 combination of sequential pairwise coarse-to-fine registration and pose graph-based optimization are  
14 used to build the reconstructed bodies of Trucks passing through the lidar Detection Zone. This  
15 framework potentially can remove the occlusion and missed detection issues for a short interval of  
16 sensing duration. In the current framework, we did not constrain the reconstruction to be on the ground  
17 plane. In our future work we would like to constrain the reconstruction to Road Surface and, we would  
18 like to explore the groupwise registration strategies for a potential one stage reconstruction framework.

19

20

21

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23

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26

27

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